CUSIP Use of Service Statement

Please complete this CUSIP Use of Service Statement which will be incorporated into the
CGS Agreement and subject to an annual affirmation of usage.

An agreement is required when a user obtains access to an electronic data feed, bulk download, or similar capability of CUSIP, CINS and CGS ISINs (collectively "CGS Identifiers") and related descriptive data ("CGS Data") - either directly from CGS or indirectly through one of CGS' authorized data vendors / information providers ("Authorized Data Vendors"), including outsourcing providers who furnish the benefit of CGS Data to indirect end user customers through some type of outsourced or managed solution. For additional information, please visit our website at https://www.cusip.com/services/license-fees.html.

Company Information

Date:	Contact:
Company:	Address:
Parent Company:	City:
Company Website:	State / Province:
Affiliates listed in Section 14 (if applicable)	Zip / Postal Code:

1. Please provide a description of your company, its overarching business lines, and role(s) within its industry.

2. Our firm has access to these CGS Identifiers: ☐ CUSIPs ☐ CINS ☐ CGS ISINs

3. Our firm uses CGS Data in the following instrument types:

☐ Stocks / Equities ☐ Mutual Funds ☐ Syndicated Loans ☐ Private Credit
☐ Corporate Bonds ☐ MBS (Pools) ☐ Options / Futures ☐ Private Equity/Venture Capital
☐ Municipal Bonds ☐ Treasury / Govt Bonds ☐ VCM Carbon Credits ☐ Other _____

4. Our firm receives CGS Data as follows:

☐ Desktop Add-in ☐ API ☐ File Download ☐ Bulk Feed ☐ Hosted, Outsourced or Managed Solution (Provider:_____)
☐ Other _____

5. Our firm receives CGS Data from Authorized Data Vendors. Please include the Authorized Data Vendors(s), product(s), update frequency and capability *(including but not limited to Bloomberg, Refinitiv, Six Financial Information, ICE Data Services, Ratings Agencies, BlackRock, S&P, Morningstar, MSRB, FINRA, Broadridge, Index Providers, Structured Finance Providers, outsourced or managed solutions (e.g., business process outsourcing; back-office outsourcing; trade processing; portfolio accounting; trust and fund accounting, or fund administration, as well as various other hosted, outsourced or managed solutions that offer middle-to-back-office "software as a service" solutions):*

6. The following Industry Classification(s) describe(s) our firm's business(es):

☐ Accounting Firm ☐ Asset Management ☐ Investment Banking
☐ Open & Closed End Funds ☐ Audit/Consulting Firm ☐ Private Banking
☐ Fund Administration ☐ Insurance Company ☐ Regulator/Government
☐ Broker-Dealer ☐ Retail Bank ☐ Corporate/Government Pension
☐ Wealth Management ☐ Treasury ☐ Other _____

7. *Use and Count:* Please provide a summary of how your company accesses, uses, or otherwise benefits from CGS Data in the operation of your business(es) (including but not limited to, position management, performance, attribution, accounting, reconciliation, pricing, risk, trading (including pre-trade research and analytics), trade confirmation and settlements, corporate action processing, collateral management, pre and post trade compliance, reporting and maintaining the firm's security master.). This should include use within any outsourced or managed solutions.

Our firm has access to, and/or usage of, the following **total** number of unique CGS Identifiers (The count of CGS Identifiers should include all unique CGS Identifiers that your firm ultimately receives the benefit of in order to support or operate any part of its internal business processes or operations, regardless of where such CGS Identifiers are stored, processed or maintained.):

☐ Under 500   ☐ Up to 5,000   ☐ Up to 20,000   ☐ Up to 40,000
☐ Up to 2,500   ☐ Up to 10,000   ☐ Up to 30,000   ☐ More than 40,000

8. Our firm benefits from access to and/or usage of CGS Data in the following geographic region(s). Please include all geographic regions that your firm ultimately receives the benefit of CGS Data in order to support or operate any part of its internal business processes or operations, regardless of where such CGS Identifiers are stored, processed or maintained. Please do NOT select a region based on the domicile of a particular security:

North America	South America	Europe		Middle East & Africa	Asia/Pacific	
☐ USA	☐ Argentina	☐ UK	☐ Spain	☐ Israel	☐ Australia	☐ China
☐ Canada	☐ Brazil	☐ France	☐ Germany	☐ U.A.E	☐ Korea	☐ Singapore
☐ Mexico	☐ Colombia	☐ Switzerland		☐ South Africa	☐ Japan	☐ Hong Kong
☐ Caribbean	☐ Other _____	☐ Italy		☐ Other _____	☐ India	☐ Other _____
☐ Other _____		☐ Other _____				

9. CGS Data ☐ will / ☐ will not be included in Retail and/or Institutional Client Statements containing **client holdings only** (including trading & settlement) with associated CGS Data and be provided to clients in **Print, Electronic (*read-only format*),** and **Web Access to a secure client account** (*limited file download of up to 500 CGS Identifiers on a monthly basis – client's holdings only. If your firm sends more than 500 CGS Identifiers on a monthly basis, please describe in Section 10*).

10. The following describes our firm's external use, display and/or distribution of CGS Data, including which data elements are distributed externally, for what purpose they are distributed and to whom they are delivered. Please explain all scenarios (i.e. - products & services, website, etc.):

_____

11. Our firm offers the following types of products, services, and capabilities that display or distribute CGS Data:

☐ Reference Data Provider	☐ Evaluated Pricing	☐ Market Data Aggregator
☐ Indices Provider	☐ Credit Ratings Agency	☐ Structured Finance Data Provider
☐ Stock Exchange	☐ Hosted Solution Provider	☐ Correspondent Clearing Service
☐ Trust Services Provider	☐ Software Solutions / Facilitator	☐ Custody Services
☐ Fundamental Data Analysis / Research Provider	☐ Outsourcing (e.g. IT, Operations, Data Management, Back Office Services)	☐ Portfolio Risk & Analytics Provider
		☐ Other _____

12. Our firm markets the following products that distribute CGS Data. Please include the names and locations of these products and services:

☐ Display Product (including Web, Desktop Add-in, Terminal)
_____

☐ Bulk Download/Feeds (including Portfolio extract, API)
_____

☐ Other (including Print)
_____

13. Does your firm store, process, update or maintain CGS Data on your client's behalf? ☐Yes ☐No
If yes, please name and describe the service(s)
_____

14. Please list all Affiliates with access to and usage of the CGS Data, including the percentage of ownership.
(All Affiliates listed below must comply with the definition ascribed in the License Agreement and each Affiliate's use must comply with the scope provided for in the UOSS)

Legal Entity Name	% of Ownership